

2024 Compliance Officer Boot Camp

August 27 - 29 — Johnston

Presented by: Ginger McCullough, FORVIS

You are, or want to be, the Compliance Officer – now what? Compliance touches every aspect of banking—from product and service development, delivery, and maintenance to servicing and cessation. Yet there is little training or orientation on what is involved in being a Compliance Officer, Manager, Specialist, or Analyst.

How to be a Compliance Officer is a question that looms over most compliance professionals. This three-day workshop seminar will provide detailed insight on what you should be doing and how to do it to be an effective Compliance Officer.

Course Topics

- Introduction to Governance, Risk and Compliance (GRC)
- Compliance Management Systems Defined
- Finding and Understanding the Applicable Laws, Rules, Regulations, Guidance
 - How to read a regulation, statute, guidance
 - How to conduct research and build your library
- Building Your Compliance Risk Management Program (CRMP)
- Managing Compliance
- Risk Assessing Your Compliance Risk Management Program
- Explore Presentation Skills and Influencing Management
- Resources, Resources, and more Resources!

Who Should Attend?

Compliance officers, auditors, managers, specialists, and analysts as well as operations staff and management as they face compliance issues each day on the front line.

REGISTRATION

Registration Fee:

\$895 – IBA Member (*per person*)
 \$1790 – IBA Non-member (*per person*)

Registration Includes:

Continental breakfast, program materials, lunch, refreshment breaks.

Cancellation Policy:

Refunds will be made if requested one week prior to seminar date. No refunds after that date. Substitutions allowed at any time prior to seminar date.

Register online:

www.iowabankers.com
 (*Events tab – scroll to date*)

Or mail registration form with payment to:

Iowa Bankers Association,
 Attn: Registrar, PO Box 6200
 Johnston, IA 50131

For online registration and information about additional IBA training and development see www.iowabankers.com

IBA Event Code #490

2024 Compliance Officer Boot Camp

Bank _____

1. Name _____

Address _____

Email _____

City _____ State _____ ZIP _____

2. Name _____

Phone _____

Email _____

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About the Speaker



Ginger McCullough

Ginger McCullough, CRCM, is a Lead Consultant with FORVIS. Ms. McCullough has extensive experience in consumer protection banking regulatory compliance, including the application of. She began her career with a mid-size institution where she gained valuable experience working through various functions of the bank. During her nearly 20-year career she developed a keen ability to effectively decipher and implement complex and rapidly changing regulations. Serving as the bank's Compliance Officer she built and implemented a highly effective compliance risk management program that created operational efficiencies while ensuring adherence to consumer protection laws.

Most recently, Ms. McCullough worked as Director of Operations and Managing Consultant where she provided hands on training, compliance auditing services, and a commonsense risk-based perspective to her clients.

Ms. McCullough is a Certified Regulatory Compliance Manager (CRCM).

Agenda

Tuesday, August 27

9:30 a.m. Registration
Continental
Breakfast
10:00 a.m. Program
Noon Lunch
1:00 p.m. Program
4:00 p.m. Adjourn

Wednesday, August 28

7:30 a.m. Breakfast
8:00 a.m. Program
11:30 a.m. Lunch
12:30 p.m. Program
4:30 p.m. Adjourn

Thursday, August 29


7:30 a.m. Breakfast
8:00 a.m. Program
11:30 a.m. Lunch
12:30 p.m. Program
3:00 p.m. Adjourn

Date & Location

Tuesday, August 27 -

Thursday, August 29

Iowa Bankers Association
8901 Northpark Drive
Johnston, IA 50131


 515-286-4300

Lodging Accommodations

A room block has been set-up at the hotel listed below. Please call the hotel and ask for the IBA Compliance Boot Camp block to receive the rate of \$124/night.

Hilton Garden Inn


8600 Northpark Drive
Johnston, Iowa 50131

 515-270-8890

Questions

Contact Jill Manternach at:

 jmanternach@iowabankers.com

 515-286-4375